

# Oxidation Reactions Mediated by Single-Walled Carbon Nanotubes in Aqueous Solution

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How single-walled carbon nanotubes (SWCNT) function in redox reactions may be related to their behaviors in the induction of oxidative stress. Herein, oxidation of several biologically relevant reducing agents in the presence of SWCNT was studied in aqueous solutions. The selected reductants included a common indicator for intracellular reactive oxygen species (ROS) (2,7-dichlorodihydrofluorescein), small antioxidants (vitamin C, Trolox, and cysteine), and a high-molecular-weight ROS scavenger (bovine serum albumin). The unmodified or carboxylated SWCNT acted as both the oxidants and the catalysts in reactions. Moreover, they accelerated the oxidation reactions mediated by horseradish peroxidase, a representative member of the enzyme family actively involved in balancing oxidative stress. These diverse roles in redox reactions may serve the chemistry basis for SWCNT to induce oxidative stress in biological systems as potential environmental pollutants.

## Introduction

Single-walled carbon nanotubes (SWCNT) have found applications in energy production, device miniaturization, sensor construction, and material fabrication, owing to their outstanding electrical, mechanical, and chemical properties. (1–5) However, the structural and morphological similarity of SWCNT to the carcinogenic asbestos fibers have raised tremendous concerns, (6) and more and more studies have been devoted to evaluate the impacts of SWCNT on health. (7–10) Still, an affirmative conclusion has not been reached, mostly because mechanisms governing the behaviors of SWCNT in biological systems are highly complex and could be relevant to the physicochemical properties of SWCNT as well as the diverse cellular defense pathways. In particular, whether and how SWCNT could induce oxidative stress is under debate. Oxidative stress was detected in cells invaded by SWCNT, (8, 11–22) judged from evidence like increased intracellular level of reactive oxygen species (ROS), glutathione depletion, decreased total antioxidant capacity, etc. (18–22) On the other hand, negligible oxidation damage was identified with SWCNT carrying supermolecular functionalization like dendrimers or drugs like amphotericin B and doxorubicin. (23–26)

Free radicals have been blamed for the induction of oxidative stress by SWCNT, (17, 27, 28) but only very few

reports detected the presence of free radicals in SWCNT suspension. (29–31) Previously our group discovered that species with similar oxidation potentials as the peroxy radicals may be produced in SWCNT solutions, because the SWCNT solution could oxidize ROS indicators for peroxy radicals and the oxidations could only be quenched by peroxy radical inhibitors. (32) The oxidation was positively related to the surface adsorption of oxygen, which may form 1,4-endoperoxide or 1,2-dioxetane via the addition of O<sub>2</sub> across a C<sub>6</sub>-hexagon or to one C=C bond. (33) Moreover, it has been revealed that SWCNT in aqueous solutions could be oxidized or reduced by strong oxidants and reductants. (34–38) Hence, we continued to investigate how SWCNT were involved in the oxidation of ROS indicators and common antioxidants like vitamin C, Trolox, and free thiol-containing components. In addition, we explored the impact of SWCNT on oxidations mediated by horseradish peroxidase (HRP), a representative member of the enzyme family actively involved in balancing oxidative stress. Our results point out the possibility of SWCNT to consume antioxidants and affect reaction kinetics of peroxidase. Both may cause the imbalanced depletion of reducing scavengers in cells and consequently raise oxidative stress.

## Experimental Section

**Chemicals and Reagents.** The present study focused on the unmodified and carboxylic acid-functionalized SWCNT (SWCNT-COOH). Both were obtained from Sigma and used as purchased. Information about the physical dimensions, functionalization, surface area, and metal impurity of SWCNT can be found in the Supporting Information, Table S1. HRP, ascorbic acid, bovine serum albumin (BSA), and 6-hydroxy-2,5,7,8-tetramethylchroman-2-carboxylic acid (Trolox) were purchased from Sigma (St. Louis, MO). Cysteine, 2,7-dichlorodihydrofluorescein diacetate (H<sub>2</sub>DCF-DA), and the Thiol and Sulfide Quantitation Kit were obtained from Invitrogen (Eugene, OR).

**SWCNT Preparation.** Distribution of SWCNT in solutions was very important for SWCNT to exhibit their oxidation effects. Low oxidation capability was observed in the SWCNT-COOH samples prepared from a stock solution of 1 mg/mL (32), a concentration 10 times higher than the solubility of SWCNT-COOH in water (Figure S1). SWCNT aggregation occurred in the 1 mg/mL stock and significantly reduced the surface areas available for chemical reactions. To ensure good dispersion of SWCNT in the reaction solutions and preserve their oxidation power, in the present study, all SWCNT stocks were prepared in a concentration of 0.05 mg/mL with 1-h ultrasonication (100 W, 42 kHz). The unmodified SWCNT had very low solubility in water and thus 0.1% SDS was used as the dispersing agent.

**Measurement of H<sub>2</sub>DCF Oxidation by Fluorescence.** Oxidation of H<sub>2</sub>DCF was measured with the Victor II microplate reader (Perkin-Elmer, Waltham, MA). The H<sub>2</sub>DCF stock solution was prepared on a daily basis by de-esterifying 20 nmol H<sub>2</sub>DCF-DA with 0.25 mL of 0.01 M NaOH. Subsequently, 0.75 mL of 25 mM phosphate buffer (pH 7.4) was added to neutralized the resulted H<sub>2</sub>DCF solution. In the oxidation study 10  $\mu$ M H<sub>2</sub>DCF was oxidized to DCF, which emitted strong fluorescence. Fluorescence intensities measured at various reaction time points were used to calculate the consumption of H<sub>2</sub>DCF based on a calibration curve generated from DCF. Fluorescence quenching caused by SWCNT was adjusted by including SWCNT in the standard DCF solutions when acquiring the calibration curve.

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**H<sub>2</sub>DCF Oxidation under Anaerobic and Aerobic Conditions Measured by UV–Vis–IR.** Before mixing, the H<sub>2</sub>DCF and SWCNT solutions were sealed separately, vacuumed for 25 min, and then purged with argon at 1 psi for more than 0.5 h. The two solutions were mixed in a tightly sealed cuvette. The final concentration for H<sub>2</sub>DCF was 10  $\mu$ M, and that of the unmodified SWCNT or SWCNT-COOH was 0.05 mg/mL. The absorbance spectrum from 400 to 1300 nm was measured at several reaction durations (1, 5, 10, 20, and 30 min) by the Cary 500 UV–vis–IR spectrometer (Varian, Palo Alto, CA). After 30 min, the cuvette was vacuumed for 15 min and exposed to air, and the UV–vis–IR spectrum was recorded at 10, 20, 30, 50, and 60 min.

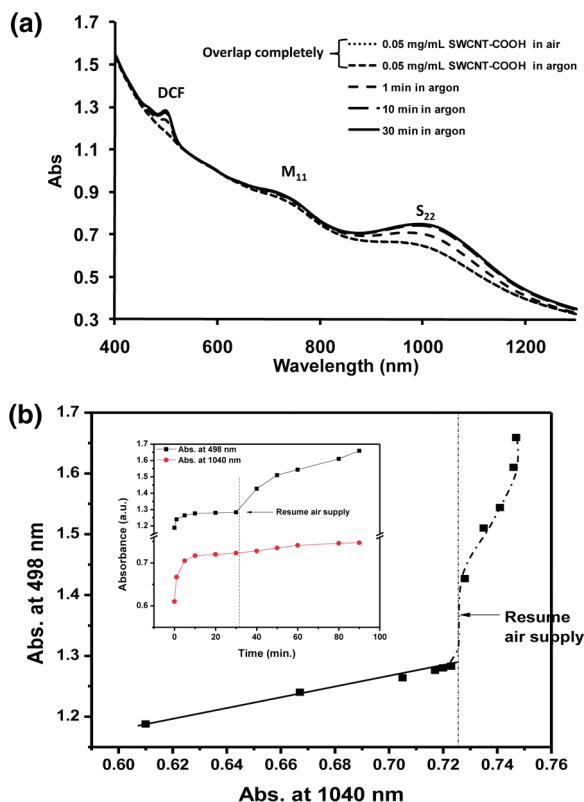
**Oxidation of Other Reducing Agents.** Oxidation of ascorbic acid and Trolox by SWCNT-COOH was monitored in the Cary 500 UV–vis–IR spectrometer. The UV–vis–NIR spectra from 200 to 1300 nm simultaneously showed the quantity change of all reactants, including 56.8  $\mu$ M ascorbic acid, 40.0  $\mu$ M Trolox, and 0.05 mg/mL SWCNT-COOH. However, for comparing the oxidation rates of ascorbic acid and Trolox, the Cary 100 UV–vis spectrometer was used to observe only the changes in ascorbic acid and Trolox with a much faster scan rate. The residue thiol groups in cysteine and BSA after reacted with SWCNT was measured with the Thiol and Sulfide Quantitation Kit, following manufacturer's instruction.

**Effect of SWCNT on the Reaction Kinetics of HRP.** HRP (30 nM) and H<sub>2</sub>O<sub>2</sub> (10  $\mu$ M) were mixed in the microtiter plate wells with or without 0.007 mg/mL SWCNT. The reaction was initiated by rapidly adding H<sub>2</sub>DCF to a final concentration of 5, 8, 10, 20, 50, 100, and 200  $\mu$ M. The measurement and calculation of DCF concentrations were performed as described above. The initial rates of reactions were expressed as the amount of DCF produced per minute.

## Result and Discussion

**Duplex Roles of SWCNT in the Oxidation of H<sub>2</sub>DCF.** Even though our previous study on the oxidation of H<sub>2</sub>DCF in aqueous suspension of SWCNT concluded that oxygen was the ultimate oxidant, residual oxidation of H<sub>2</sub>DCF (~20%) was still observed under the anaerobic condition. Since SWCNT have been found to exist in both oxidized and reduced forms in aqueous solutions, (34) they may act as an oxidant and react with H<sub>2</sub>DCF, which would generate changes in the near-infrared (NIR) spectrum of SWCNT-COOH. (33, 39–41) In the NIR spectrum, the regions between 1500–1800 nm (*S*<sub>11</sub>) and 900–1100 nm (*S*<sub>22</sub>) are features of semiconducting tubes and that located between 600–700 nm (*M*<sub>11</sub>) corresponds to metallic tubes. (41) The absorption intensity of these regions would increase if SWCNT are reduced. Therefore, we collected the NIR spectra of SWCNT-COOH at different time points during the oxidation of H<sub>2</sub>DCF to inspect how they participated in the reaction.

We first performed the reaction under anaerobic condition to avoid impact from O<sub>2</sub> (Figure 1A). Our SWCNT-COOH sample showed the central transition regions of *S*<sub>22</sub> and *M*<sub>11</sub> at 1040 and 700 nm, respectively, but the *S*<sub>11</sub> transition which represents the lower energy band gap was not observed due to the large background interference from H<sub>2</sub>O. The depletion of oxygen did not alter the oxidation status of SWCNT-COOH without H<sub>2</sub>DCF, but the SWCNT-COOH was reduced immediately upon mixing with H<sub>2</sub>DCF (Figure 1A). We monitored the change of absorbance (Abs.) at 498 nm (distinct for DCF generation) and 1040 nm (specific for SWCNT-COOH reduction) with time (insert in Figure 1B) and found out they were strongly correlated with each other (*R*<sup>2</sup> = 0.994; solid line in Figure 1B). This result indicates that, under anaerobic condition, SWCNT-COOH received electrons from H<sub>2</sub>DCF as an oxidant. The originally low *S*<sub>22</sub> transition band in SWCNT-COOH probably resulted from the strong acid

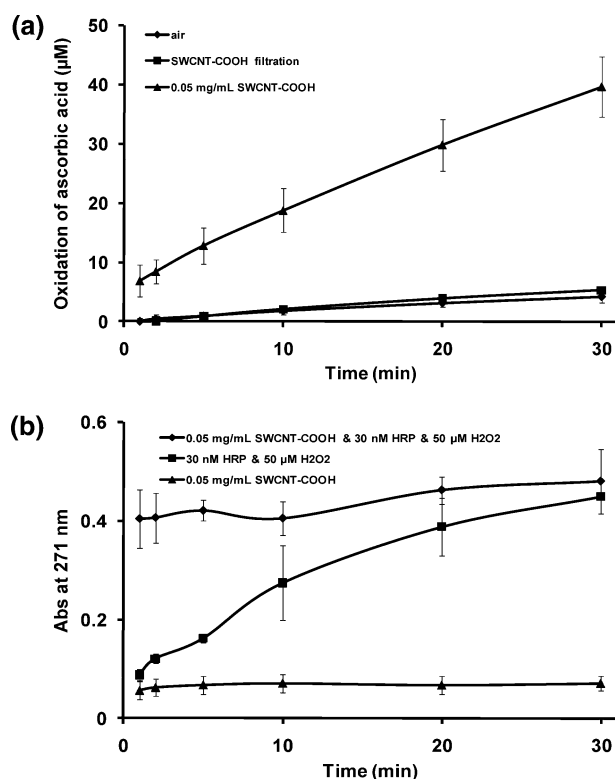


**FIGURE 1.** (A) UV–vis–IR spectra for oxidation of 10  $\mu$ M H<sub>2</sub>DCF in argon. Before and after purging air, the absorbance of 0.05 mg/mL SWCNT-COOH did not change. (B) The correlation between Abs at 498 and 1040 nm in the two reaction stages (anaerobic and aerobic). Insert: Abs at 498 nm by DCF and 1040 nm by SWCNT-COOH at 1, 10, and 30 min in argon as well as 10, 30, and 60 min after air supply was resumed.

treatment for the introduction of COOH group, (42) which likely exfoliated the tube, intercalated the acid into the graphite structure, and subsequently bleached the *S*<sub>22</sub> band via the hole-doping effect. Adsorption of O<sub>2</sub> on SWCNT surface has also been claimed to impose such a hole-doping effect. (43) The pre-existing SWCNT-COOH doped by electron acceptors during manufacture and preprocessing was rapidly consumed to oxidize H<sub>2</sub>DCF in the first 10 min, and the reaction slowed down when most of the doped SWCNT-COOH were consumed. Once we exposed the solution to air, the linear relationship between the Abs. at 498 and 1040 nm was disrupted suddenly with a much faster oxidation rate in H<sub>2</sub>DCF than the reduction rate of SWCNT-COOH (dotted line in Figure 1B; spectra shown in Figure S2). Thus, under aerobic condition O<sub>2</sub> became the dominant oxidant in the reaction.

Similar reaction trends also occurred to the unmodified SWCNT (Figure S3A and B), but purging the solution with argon obviously increased the *S*<sub>22</sub> absorbance even without the addition of H<sub>2</sub>DCF (Figure S3A). The unmodified SWCNT were dispersed in 0.1% SDS by sonication. The coverage of SDS on the SWCNT surface may have weakened the binding of O<sub>2</sub>, making it easier to be removed by argon, and also reduced the surface area for O<sub>2</sub> adsorption. Both led to a lower oxidation capability in the unmodified SWCNT than the SWCNT-COOH.

Clearly, SWCNT played two roles in the oxidation of H<sub>2</sub>DCF: they themselves could be the oxidant and also could accelerate the reaction between O<sub>2</sub> and H<sub>2</sub>DCF. SWCNT possesses ultra large surface area, and their adsorption of oxygen was calculated to be energetically favorable with  $\Delta H$  equal to −16.3 kcal/mol. (44) The adsorbed oxygen on SWCNT surface may be added across the C=C bond and form

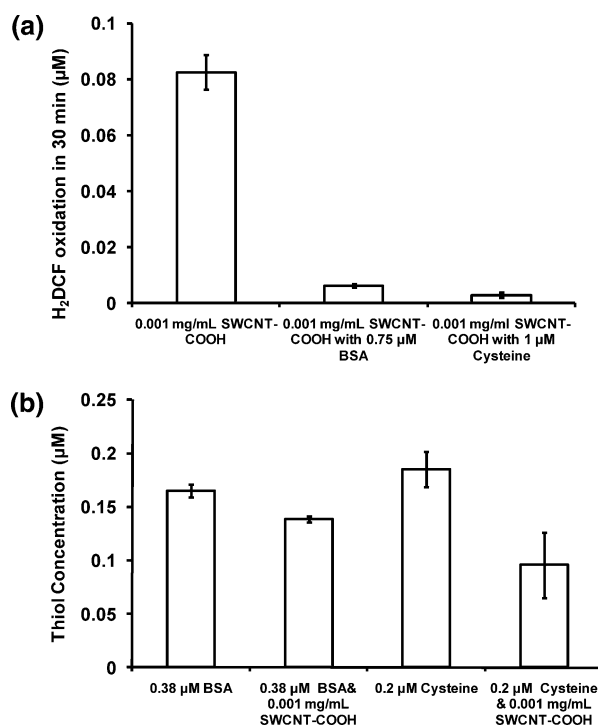


**FIGURE 2.** (A) Oxidation of vitamin C by air, 0.05 mg/mL SWCNT-COOH, or its equivalent-volume filtration; (B) oxidation of 40  $\mu$ M Trolox by 0.05 mg/mL SWCNT-COOH, 30 nM HRP with 50  $\mu$ M  $\text{H}_2\text{O}_2$ , as well as the mixture of SWCNT-COOH, HRP, and  $\text{H}_2\text{O}_2$ .

transition species with reaction characteristics resemble to that of the peroxy radical as revealed from our previous study. The adsorption-based activation of  $\text{O}_2$  could also be the route via which SWCNT facilitate the oxidation of  $\text{H}_2\text{DCF}$  by  $\text{O}_2$ . Moreover,  $\text{H}_2\text{DCF}$  is an aromatic compound, and intercalation of aromatic compounds to SWCNT through  $\pi$ - $\pi$  stacking is well documented in literature. By adsorbing both the oxidant and reductant on the surface, SWCNT could speed up the electron transfer due to their high electron conductivity. (45)

#### Reactivity of SWCNT toward Other Reducing Molecules.

The oxidation potential and catalytic capability shown by SWCNT in the oxidation of  $\text{H}_2\text{DCF}$  raised the question if they could react in the same ways with common antioxidants found in biological systems. Since vitamin C and Trolox, the water-soluble analog of tocopherol (vitamin E), were able to suppress the oxidation of  $\text{H}_2\text{DCF}$  by the unmodified SWCNT (32) and the SWCNT-COOH (Figure S4), we suspected that the inhibition effect might be due to the competition of oxidants in the reaction system. Indeed, the rate curves shown in Figure 2A indicated that the oxidation rate of vitamin C in the presence of 0.05 mg/mL SWCNT-COOH increased about 8 fold in comparison with that in air. The oxidation of vitamin C was monitored by the decrease of its characteristic absorption peak at 265 nm. SWCNT again acted as both the oxidant and the catalyst (Figure S5). No enhanced oxidation was observed if the SWCNT-COOH was removed from the solution by filtration. The presence of SWCNT-COOH was necessary for the oxidation reaction to occur. The reaction rate of vitamin C with SWCNT-COOH was faster than that of the  $\text{H}_2\text{DCF}$ . Within 30 min, 0.05 mg/mL SWCNT-COOH oxidized a total of 40  $\mu$ M vitamin C but only produced around 5.3  $\mu$ M DCF (data not shown), each molecule of vitamin C or  $\text{H}_2\text{DCF}$  losing 2 electrons in the redox reaction. The faster reaction rate of vitamin C supports that most of the oxygen species in the system could be consumed by



**FIGURE 3.** (A) inhibition effect of 0.75  $\mu$ M BSA or 1  $\mu$ M cysteine on the oxidation of 1  $\mu$ M  $\text{H}_2\text{DCF}$  by 0.05 mg/mL SWCNT-COOH in 30 min. (B) The level of thiols in 0.38  $\mu$ M BSA and 0.2  $\mu$ M cysteine after exposure to 0.001 mg/mL SWCNT-COOH for 24 h.

vitamin C if it coexisted with  $\text{H}_2\text{DCF}$ , and consequently the oxidation of  $\text{H}_2\text{DCF}$  was suppressed.

However, the competition theory was not applicable to Trolox. Trolox suppressed the oxidation of  $\text{H}_2\text{DCF}$  in the SWCNT-COOH suspension within 30 min of reaction (Figure S4), but it stopped participating in the redox reaction after the reduction of SWCNT-COOH (Figures 2B and S6). Similarly, two free thiol containing antioxidants, BSA and cysteine exhibited immediate inhibition effects on the oxidation of  $\text{H}_2\text{DCF}$  in SWCNT-COOH solution (Figure 3A), but it took more than 24 h to oxidize the free thiol groups to a measurable level in these two molecules by SWCNT-COOH (Figure 3B). The suppression effect from BSA could be through the coating of SWCNT surface (46) which blocks the adsorption of oxygen and thus prevent the formation of the intermediate, epoxide-like species. However, the suppression mechanism of Trolox and cysteine was unknown.

**Effect of SWCNT on the Activity of HRP.** The reactivity of SWCNT over the common antioxidants may cause rapid depletion of these protecting molecules and break the intracellular redox balance if they enter the cells. However, cells are protected from oxidation damages by multiple pathways in addition to small antioxidants, and many of the pathways involve enzymes from the peroxidase family. Therefore, to further evaluate the impact of SWCNT reactivity on oxidation stress induction, we probed the possible influence of SWCNT on the function of peroxidase, using HRP as the model enzyme. Our measurement on the oxidation of  $\text{H}_2\text{DCF}$  (Figure S7) or Trolox (Figure 2B) by HRP/ $\text{H}_2\text{O}_2$  clearly revealed that the reaction was accelerated by SWCNT-COOH. The reaction kinetics of HRP was then studied with or without the presence of SWCNT-COOH or unmodified SWCNT using  $\text{H}_2\text{DCF}$  as the substrate. The reaction catalyzed by HRP shows a ping-pong mechanism (47). The reaction kinetics with both  $\text{H}_2\text{O}_2$  and  $\text{H}_2\text{DCF}$  as the substrates can be described with the following equation:

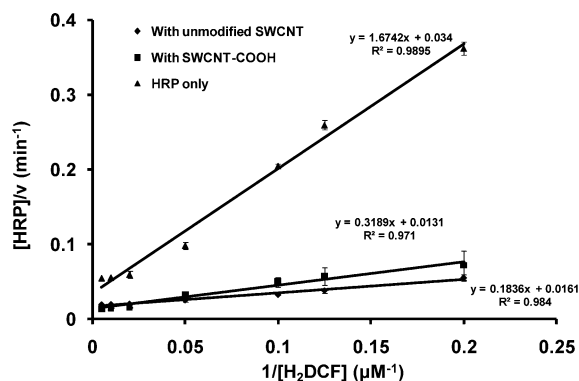


$$\frac{[\text{HRP}]_0}{v} = \frac{K_A}{k_{\text{cat}}} \frac{1}{[\text{H}_2\text{DCF}]} + \frac{1}{k_{\text{cat}}} \left( 1 + \frac{K_B}{[\text{H}_2\text{O}_2]} \right)$$

in which  $[\text{HRP}]_0$  is the total enzyme concentration,  $v$  is initial rate,  $K_A$  and  $K_B$  are Michaelis constants for  $\text{H}_2\text{DCF}$  and  $\text{H}_2\text{O}_2$  respectively, and  $k_{\text{cat}}$  is the maximum theoretical rate constant. With a constant concentration of  $\text{H}_2\text{O}_2$ ,  $[\text{HRP}]_0/v$  is linearly proportional to  $1/[\text{H}_2\text{DCF}]$ . Figure 4 displays the curves obtained with HRP only, HRP plus SWCNT-COOH, and HRP plus unmodified SWCNT. Smaller slopes and intercepts were obtained with the two types of SWCNT. Assuming SWCNT has no impact on the  $K_B$  of  $\text{H}_2\text{O}_2$ , we could see that SWCNT-COOH increased the rate constant  $k_{\text{cat}}$  of the following reaction by a factor of 2.8 and decreased the  $K_A$  value of  $\text{H}_2\text{DCF}$  by a factor of 1.9. The unmodified SWCNT led to 2.1 fold reduction of  $k_{\text{cat}}$ , and 4.3 fold increases in  $K_A$ . Such changes indicated that oxidation of  $\text{H}_2\text{DCF}$  by the HRP complex II produced from the reaction between HRP and  $\text{H}_2\text{O}_2$  was accelerated by SWCNT-COOH.

Two pieces of evidence made us believe that the above phenomena could be due to the facilitation of the long-range electron transfer between  $\text{H}_2\text{DCF}$  and HRP by SWCNT. One is the confirmation of direct interaction between HRP and SWCNT-COOH by CD measurement (Figure S8). HRP lost part of its  $\alpha$ -helix secondary structure when mixed with SWCNT-COOH. (48, 49) The other is the inhibition behavior of Trolox on the oxidation of  $\text{H}_2\text{DCF}$  by the HRP/ $\text{H}_2\text{O}_2$ /SWCNT-COOH system. Trolox and  $\text{H}_2\text{DCF}$  occupied different binding sites on HRP so that it did not suppress the oxidation of  $\text{H}_2\text{DCF}$  by the HRP/ $\text{H}_2\text{O}_2$  system. On the contrary, it inhibited the oxidation in the HRP/ $\text{H}_2\text{O}_2$ /SWCNT-COOH system (Figure S9). This result hints that SWCNT-COOH may enhance the electron transport between the heme group of HRP and the reductants. The binding site of Trolox on HRP may be closer to SWCNT surface and its electrons could then be routed to the heme group more easily, blocking the electron path of  $\text{H}_2\text{DCF}$ . Vitamin C does not bind to HRP, and thus the above phenomena were not observed with vitamin C (Figure S9). Facilitation of long-range electron transfer may also be the mechanism used by SWCNT to catalyze the reaction of antioxidants with oxygen. However, further investigation on the relative positions of key amino acids in HRP responsible for the electron migration (45) to the SWCNT-COOH surface is needed to confirm this hypothesis.

In summary, we demonstrated that SWCNT could oxidize the ROS indicator of  $\text{H}_2\text{DCF}$  and various antioxidants including vitamin C, cysteine, and BSA with different reaction rates in aqueous solution. The SWCNT could serve as the oxidant, and also facilitate the electron transport between the reducing agents and the oxidizing agents such as  $\text{O}_2$  and



**FIGURE 4.** Kinetic of the  $\text{H}_2\text{DCF}$  oxidation by HRP with or without SWCNT. The concentration of enzyme and  $\text{H}_2\text{O}_2$  were 30 nM and 10  $\mu\text{M}$ , respectively. The concentrations of  $\text{H}_2\text{DCF}$  were 5, 8, 10, 20, 50, 100, and 200  $\mu\text{M}$ .

HRP. The oxidation effect on the common ROS indicator emphasizes the importance of studying the oxidative stress in cells with different approaches besides the fluorescent microscopy with ROS indicators. The effects of SWCNT on the antioxidants and the peroxidase indicate that if SWCNT were taken up by the cells, they may enhance the cellular oxidative stress through the depletion of antioxidants and the augment of peroxidase activity. On the other hand, our results also point out that the chemical activity of SWCNT was dependent on their surface properties. Thus, adsorption of molecules present in biological systems, like proteins, onto SWCNT surface would increase the complexity of oxidative stress originated by SWCNT and should be taken into account when investigating the toxicity of SWCNT.

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## Supporting Information Available

Product information of the SWCNT used in our study is provided in Table S1. Oxidation of  $\text{H}_2\text{DCF}$  by SWCNT-COOH prepared in different concentrations is shown in Figure S1. Figure S2 displays the UV-vis-IR spectra for oxidation of  $\text{H}_2\text{DCF}$  by SWCNT-COOH in air. Oxidations of 10  $\mu\text{M}$   $\text{H}_2\text{DCF}$  by unmodified SWCNT in argon and in air are in Figure S3. Figure S4 depicts the inhibition effect of small molecules on  $\text{H}_2\text{DCF}$  oxidation by SWCNT-COOH. UV-vis-IR spectra for vitamin C and Trolox oxidation by SWCNT-COOH are found in Figure S5 and S6. Figure S7 shows the increased oxidation of  $\text{H}_2\text{DCF}$  in the system of SWCNT/HRP/ $\text{H}_2\text{O}_2$ , and Figure S8 contains the CD spectra of HRP with or without SWCNT-COOH. Figure S9 is the inhibition effect of small molecules on the oxidation of  $\text{H}_2\text{DCF}$  by SWCNT-COOH in the present of HRP. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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